

# Tropical Combinatorial Nullstellensatz and Fewnomials Testing

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# Max-plus Semiring

Max-plus semiring (tropical semiring):

$$(K, \oplus, \odot),$$

where  $K = \mathbb{R}$  or  $K = \mathbb{Q}$  and

$$x \oplus y = \max\{x, y\},$$

$$x \odot y = x + y$$

# Tropical Polynomials

Monomials:

$$M = c \odot x_1^{\odot i_1} \odot \dots \odot x_n^{\odot i_n} = c + i_1 x_1 + \dots + i_n x_n,$$

where  $c \in \mathbb{K}$  and  $i_1, \dots, i_n \in \mathbb{Z}_+$

Notation:  $\vec{x}^I = x_1^{\odot i_1} \odot \dots \odot x_n^{\odot i_n}$

Polynomials:

$$f = \bigoplus_i M_i = \max_i M_i$$

Degree:

$$\deg M = i_1 + \dots + i_n,$$

$$\deg f = \max_i \deg(M_i)$$

# Roots

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A point  $\vec{a} \in \mathbb{K}^n$  is a **root** of the polynomial  $f$  if the maximum  $\max_i \{M_i(\vec{a})\}$  is either attained on at least two different monomials  $M_i$  or is infinite

A tropical polynomial  $p(\vec{x})$  is a convex piece-wise linear function

The roots of  $p$  are non-smoothness points of this function

## Example 1

$$f = 1 \oplus 2 \odot x \oplus 0 \odot x^{\odot 2} = \max(1, x + 2, 2x)$$

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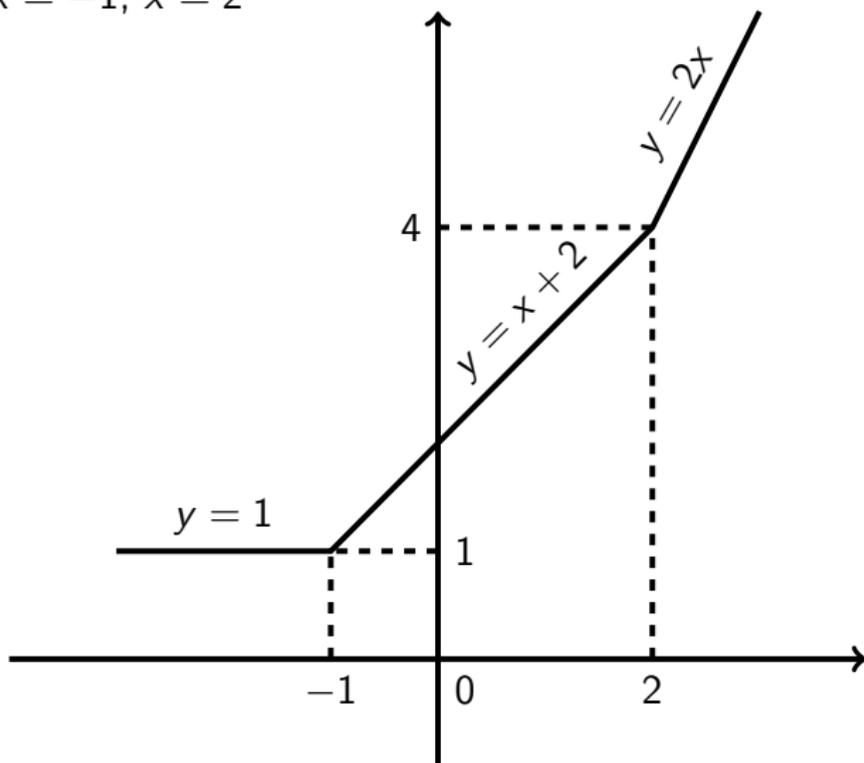
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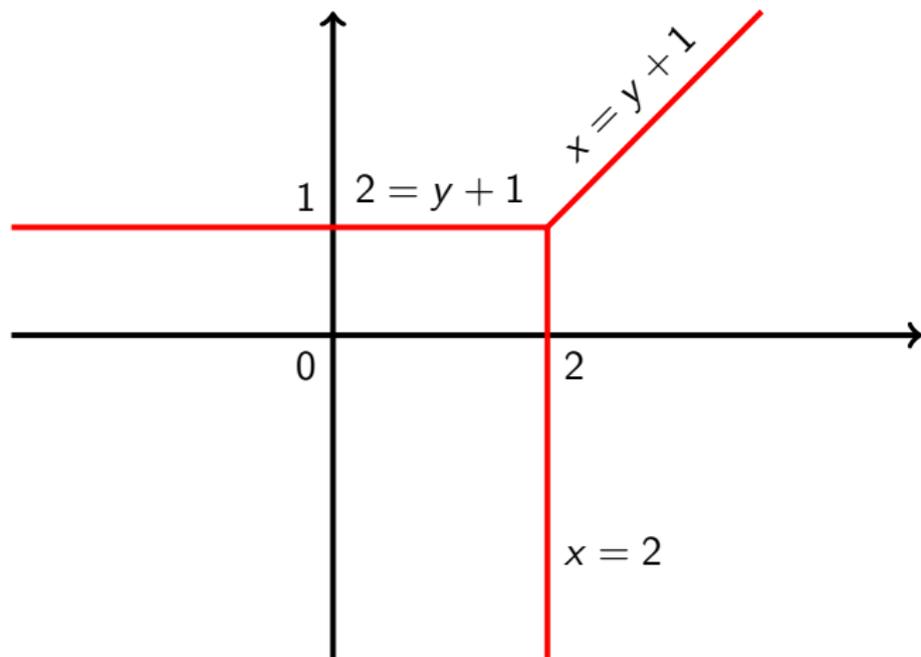
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$$f = 2 \oplus 0 \odot x \oplus 1 \odot y = \max(2, x, y + 1)$$

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# Motivation

- ▶ Algebraic geometry. Example: Mikhalkin's theorem on the enumeration of plane complex algebraic curves
- ▶ Mathematical physics
- ▶ Combinatorial optimization, scheduling problems
- ▶ Complexity theory: solvability problem for the systems of tropical linear polynomials is equivalent to mean payoff games

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Tropical analogs of classical objects are

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- ▶ simple enough to be computationally accessible

# What is Known?

## Linear polynomials:

Analogs of the rank of matrices

Analog of matrix determinant

Analog of Gauss triangular form

Complexity of solvability problem: polynomially equivalent to mean payoff games (is in  $NP \cap coNP$ , not known to be in  $P$ )

## General polynomials:

Radical of the tropical ideal studied

Analog of Nullstellensatz

Complexity of solvability problem: NP-complete

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Three questions:

1. Given finite sets  $R \subseteq \mathbb{R}^n$  and  $S \subseteq \mathbb{Z}_+^n$ , is there a tropical polynomial  $p$  with  $\text{Supp}(p) \subseteq S$  and roots in all points of  $R$ ?

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3. What is the size of the minimal set of points  $R \subseteq \mathbb{K}^n$  such that any non-trivial polynomial with at most  $k$  monomials has a non-root in one of the points of  $R$ ?

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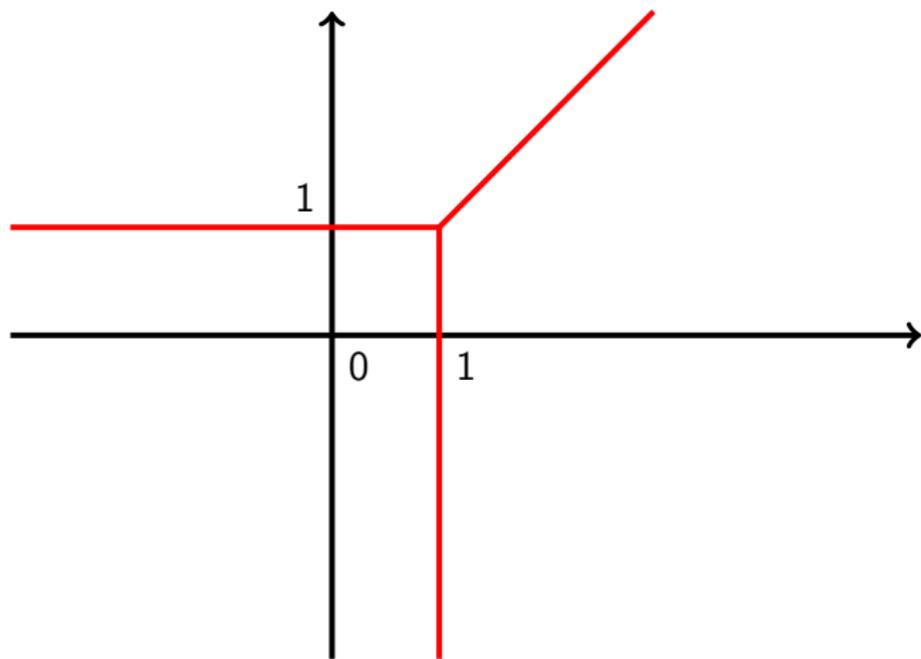
*A non-zero tropical polynomial  $p$  of  $n$  variables and individual degree  $d$  has a non-root in  $[d]^n$*

Can be extended to any  $R = S = \text{Supp}(p)$ . Open in the classical setting!

## Example, $d = 1$

$$f = 1 \oplus 0 \odot x \oplus 0 \odot y = \max(1, x, y).$$

Roots:

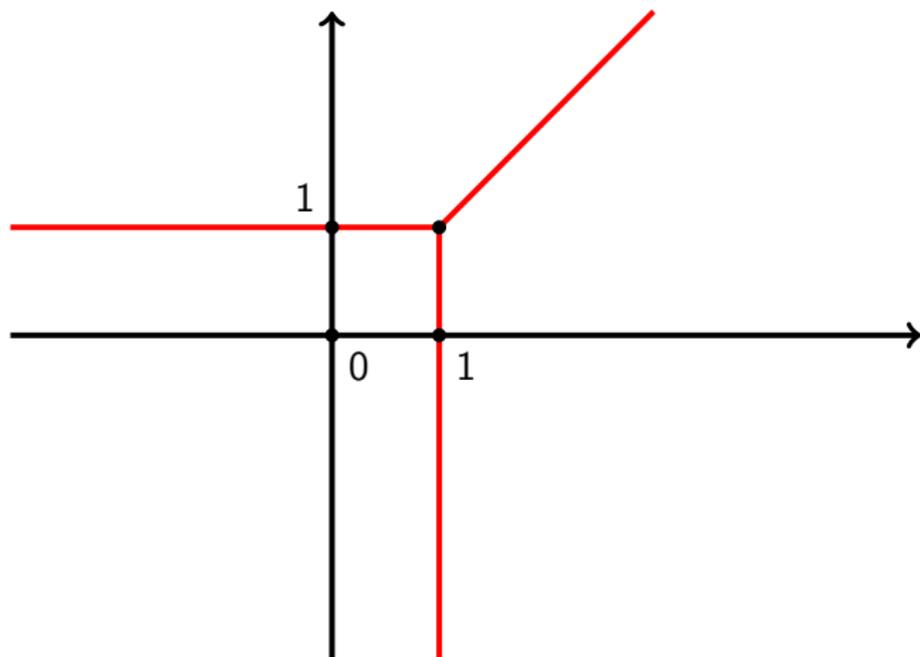


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## Theorem (Classical Combinatorial Nullstellensatz)

*If  $p$  is of total degree at most  $nd$  and a monomial  $x_1^d x_2^d \dots x_n^d$  is in  $p$ , then  $p$  has a non-root in  $[d]^n$*

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*If  $|S| > |R|$ , then there is a tropical polynomial  $p$  with  $\text{Supp}(p) = S$  and roots in all points of  $R$*

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**Proof strategy:** Look at the polynomial with varying coefficients, analyze as a tropical linear system, use known results for tropical linear systems

# Schwartz-Zippel Lemma

**Question 2** Given finite sets  $R \subseteq \mathbb{R}^n$  and  $S \subseteq \mathbb{Z}_+^n$ , how many roots can a tropical polynomial  $p$  with  $\text{Supp}(p) \subseteq S$  have in the set  $R$ ?

Classical case:

**Theorem (Classical Schwartz-Zippel Lemma)**

*Let  $R \subseteq \mathbb{R}^n$  be of size  $k$  and  $p$  be a non-zero polynomial of degree  $d$ . Then  $p$  has roots in at most  $dk^{n-1}$  points in  $R^n$ .*

# Tropical Schwartz-Zippel Lemma

## Theorem

- ▶ Let  $R \subseteq \mathbb{R}$  be of size  $k$  and  $p$  be a non-zero tropical polynomial of degree  $d$ . Then  $p$  has roots in at most

$$k^n - (k - d)^n \approx ndk^{n-1}$$

points in  $R^n$

- ▶ Exactly the same statement is true for the polynomials with individual degree of each variable at most  $d$
- ▶ The bound is optimal

For  $d = 1$  this is Isolation Lemma

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## Proof Idea.

Use Tropical Combinatorial Nullstellensatz



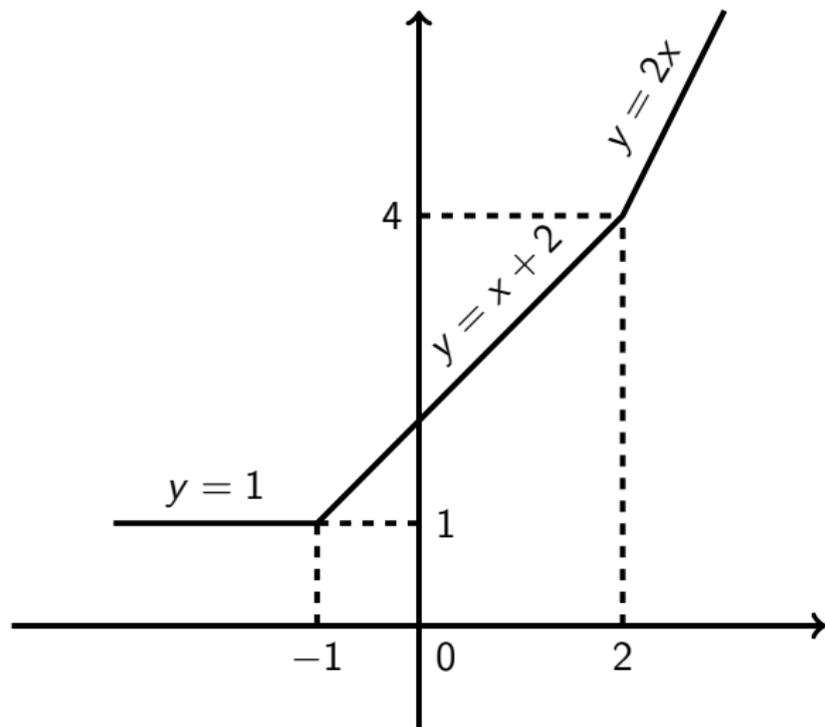
# Universal Testing Set

**Question 3** What is the size of the minimal set of points  $R \subseteq \mathbb{K}^n$  such that any non-trivial polynomial with at most  $k$  monomials has a non-root in one of the points of  $R$ ?

Classical case:  $r = k$  (Grigoriev, Karpinski, Singer, Ben-Or, Tiwari, Kaltofen, Yagati)

## Example, $n = 1$

$$f = 1 \oplus 1 \odot x \oplus 0 \odot x^{\odot 2} = \max(1, x + 1, 2x)$$



$k + 1$  monomials are needed for  $k$  roots, so  $r = k$

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### Theorem

*For polynomials over  $\mathbb{R}$  the minimal size  $r$  of the universal testing set for tropical polynomials with at most  $k$  monomials is equal to  $k$*

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### Proof Idea.

Universal set: pick a set  $R$  of points whose coordinates are linearly independent over  $\mathbb{Q}$

Let  $p$  vanish on  $R$ . Consider a graph: vertices are monomials, edges connect monomials that both have maximums on one of the roots in  $R$

Show that the graph can have no cycles



## Tropical Universal Testing Set, $\mathbb{K} = \mathbb{Q}$

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### Theorem

*For the size of the minimal universal testing set over  $\mathbb{Q}$  the following inequalities hold:*

$$\frac{(k-1)(n+1)}{2} + 1 \leq r \leq k(n+1) + 1.$$

### Proof Idea.

Upper bound: Count the dimension of semialgebraic set of sets of roots of tropical polynomials

Lower bound: Given set of points  $R$  construct polynomial with roots in all points of  $R$  inductively □

# Tropical Universal Testing Set, $\mathbb{K} = \mathbb{Q}$

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## Theorem

*For  $n = 2$  we have*

$$r = 2k - 1$$

## Proof Idea.

A universal set: vertices of a convex polygon



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Gap between lower and upper bound for  $\mathbb{Q}$