

Root subgroups on spherical varieties

Roman Avdeev

HSE University, Moscow

23 December 2024

[AA] I. Arzhantsev, R. Avdeev, *Root subgroups on affine spherical varieties*, *Selecta Math. (N.S.)* **28** (2022), no. 3, Article 60;
arXiv:2012.02088

[AZ1] R. Avdeev, V. Zhgoon, *On the existence of B -root subgroups on affine spherical varieties*, *Dokl. Math.* **105** (2022), no. 2, 51–55;
arXiv:2112.14268

[AZ2] R. Avdeev, V. Zhgoon, *Root subgroups on horospherical varieties*, arXiv:2312.03377v2 (2024)

\mathbb{K} the base field, $\overline{\mathbb{K}} = \mathbb{K}$, $\text{char } \mathbb{K} = 0$

$\mathbb{G}_a := (\mathbb{K}, +)$ is the additive group of the field \mathbb{K}

X an irreducible algebraic variety

Definition

$\mathbb{G}_a \times X \rightarrow X$ a nontrivial action \Rightarrow

the image of \mathbb{G}_a in $\text{Aut } X$ is called a \mathbb{G}_a -subgroup on X .

\mathbb{G}_a -subgroups and LNDs

X an irreducible affine variety

$\mathbb{K}[X]$ is the algebra of regular functions on X

Definition

A derivation $\partial: \mathbb{K}[X] \rightarrow \mathbb{K}[X]$ is called **locally nilpotent** (for short: **LND**) if $\forall f \in \mathbb{K}[X] \exists k > 0 : \partial^k(f) = 0$.

∂ an LND on $\mathbb{K}[X] \rightsquigarrow$

the \mathbb{G}_a -action on $\mathbb{K}[X]$ given by $s \mapsto \exp(s\partial) \rightsquigarrow$

\mathbb{G}_a -subgroup φ_∂ on X

Fact

The map $\partial \mapsto \varphi_\partial$ induces a bijection

$\{\text{nonzero LNDs on } \mathbb{K}[X]\} / \text{proportionality} \leftrightarrow$

$\{\mathbb{G}_a\text{-subgroups in } \text{Aut } X\}$.

Example. $X = \mathbb{A}^2$, $H: (s, (x, y)) \mapsto (x + s, y) \leftrightarrow \partial = \partial/\partial x$

Root subgroups

Let X be not necessarily affine; $H \subseteq \text{Aut } X$ a \mathbb{G}_a -subgroup
 $F \subseteq \text{Aut } X$ an algebraic subgroup; $\mathfrak{X}(F)$ the character group of F

Definition

H is called an **F -root subgroup** if F normalizes H .

F naturally acts on $\text{Lie } H \simeq \mathbb{K}^1$ via a character $\chi \in \mathfrak{X}(F)$

Definition

χ is called the **weight** of the F -root subgroup H .

Proposition [AA]

Suppose F is connected and acts on X with an open orbit O_F ;
 $HO_F \neq O_F \Rightarrow \exists!$ F -stable prime divisor $D \subseteq X$ such that
 $HD \neq D$. (« H moves D »)

X is affine $\Rightarrow H$ corresponds to an LND ∂ on $\mathbb{K}[X]$

H is normalized by $F \Leftrightarrow \partial$ is normalized by F (with the same weight!)

Affine toric varieties

T an algebraic torus; $\mathfrak{X}(T)$ its character lattice

Definition

A T -variety X is called **toric** if X is normal, irreducible, and possesses an open T -orbit.

Given a sublattice $M \subset \mathfrak{X}(T)$, consider the dual lattice $N := \text{Hom}_{\mathbb{Z}}(M, \mathbb{Z})$ and put $N_{\mathbb{Q}} := N \otimes_{\mathbb{Z}} \mathbb{Q}$.

Fact

There is a bijection

$\{\text{affine toric } T\text{-varieties}\} / T\text{-equiv. isom.} \leftrightarrow \{(M, \mathcal{E})\}$,

where $M \subseteq \mathfrak{X}(T)$ is a sublattice and \mathcal{E} is a strictly convex finitely generated cone in $N_{\mathbb{Q}}$.

Construction of affine toric varieties:

$(M, \mathcal{E}) \rightsquigarrow X = X(M, \mathcal{E}) := \text{Spec } \mathbb{K}[\Gamma]$ where $\Gamma = \mathcal{E}^{\vee} \cap M$ and

$$\mathbb{K}[\Gamma] = \bigoplus_{\lambda \in \Gamma} \mathbb{K}f_{\lambda}; \quad f_{\lambda}f_{\mu} := f_{\lambda+\mu} \quad \forall \lambda, \mu \in \Gamma$$

Demazure roots of a cone

Given a cone $\mathcal{E} \subset N_{\mathbb{Q}}$ as above, consider the set

$$\mathcal{E}^1 := \{\rho \in N : \rho \text{ is primitive in } N, \mathbb{Q}_{\geq 0}\rho \text{ is a ray of } \mathcal{E}\}$$

$$\rho \in \mathcal{E}^1 \Rightarrow$$

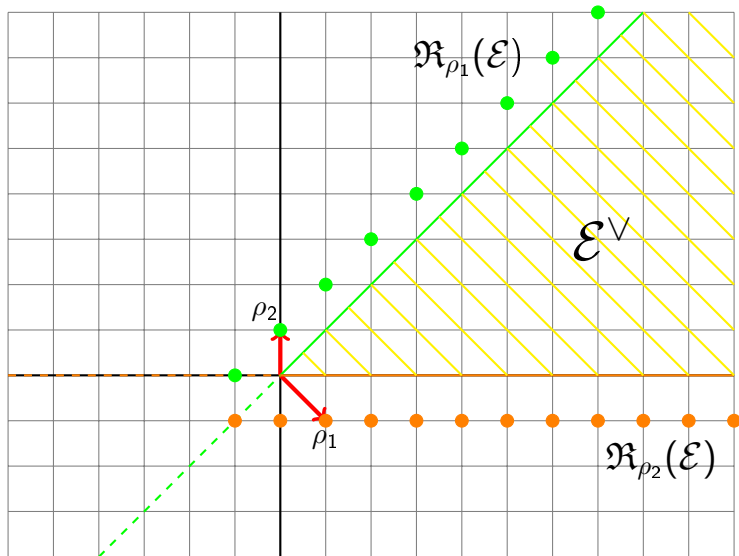
$$\mathfrak{R}_{\rho}(\mathcal{E}) := \{e \in M \mid \langle \rho, e \rangle = -1, \langle \rho', e \rangle \geq 0 \quad \forall \rho' \in \mathcal{E}^1 \setminus \{\rho\}\}$$

$$\mathfrak{R}(\mathcal{E}) := \bigsqcup_{\rho \in \mathcal{E}^1} \mathfrak{R}_{\rho}(\mathcal{E})$$

Definition

Elements of the set $\mathfrak{R}(\mathcal{E})$ are called **Demazure roots** of the cone \mathcal{E} .

Demazure roots: an example



Let X be an affine toric T -variety corresponding to a pair (M, \mathcal{E}) .

$$e \in \mathfrak{R}_\rho(\mathcal{E}) \rightsquigarrow \partial_e: \mathbb{K}[X] \rightarrow \mathbb{K}[X]$$

$$\partial_e(f_\lambda) := \langle \rho, \lambda \rangle f_{\lambda+e} \leftarrow \text{a } T\text{-normalized LND}$$

$$\rightsquigarrow H_e \leftarrow \text{a } T\text{-root subgroup on } X$$

Theorem

- 1) Every T -root subgroup on X is uniquely determined by its weight.
- 2) The set of weights of all T -root subgroups on X is $\mathfrak{R}(\mathcal{E})$.
- 3) For every T -stable prime divisor D in X , there exists a T -root subgroup on X that moves D .

Definition

A **fan** in $N_{\mathbb{Q}}$ is a finite collection \mathfrak{F} of strictly convex finitely generated cones in $N_{\mathbb{Q}}$ satisfying the following properties:

- (1) if $\mathcal{E} \in \mathfrak{F}$, then every face of \mathcal{E} is in \mathfrak{F} ;
- (2) if $\mathcal{E}_1, \mathcal{E}_2 \in \mathfrak{F}$, then $\mathcal{E}_1 \cap \mathcal{E}_2$ is a face of both $\mathcal{E}_1, \mathcal{E}_2$.

Fact

There is a bijection

$\{\text{toric } T\text{-varieties}\} / T\text{-equiv. isom.} \leftrightarrow \{(M, \mathfrak{F})\}$,

where $M \subseteq \mathfrak{X}(T)$ is a sublattice and \mathfrak{F} is a fan in $N_{\mathbb{Q}}$.

Remark. If X is an affine toric T -variety corresponding to a cone $\mathcal{E} \subset N_{\mathbb{Q}}$, then the corresponding fan \mathfrak{F} consists of all faces of \mathcal{E} .

Demazure roots of a fan

Given a sublattice $M \subset \mathfrak{X}(T)$ and a fan $\mathfrak{F} \subset N_{\mathbb{Q}}$, consider the set $\mathfrak{F}^1 := \{\rho \in N : \rho \text{ is primitive in } N, \mathbb{Q}_{\geq 0}\rho \in \mathfrak{F}\}$

Definition

An element $e \in M$ is called a **Demazure root** of the fan \mathfrak{F} if the following conditions are satisfied:

(DR1) there is $\rho \in \mathfrak{F}^1$ such that $\langle \rho, e \rangle = -1$;

(DR2) $\langle \rho', e \rangle \geq 0$ for all $\rho' \in \mathfrak{F} \setminus \{\rho\}$;

(DR3) if a cone $\mathcal{K} \in \mathfrak{F}$ satisfies $\langle \mathcal{K}, e \rangle = 0$, then the cone generated by \mathcal{K} and ρ belongs to \mathfrak{F} .

Remark. Condition (DR3) holds automatically if the fan \mathfrak{F} is convex.

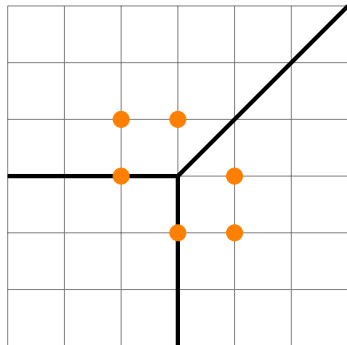
Let X be a toric T -variety corresponding to a pair (M, \mathfrak{F}) .

Theorem (Demazure'1970)

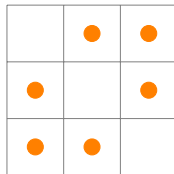
- 1) Every T -root subgroup on X is uniquely determined by its weight.
- 2) The set of weights of T -root subgroups on X is $\mathfrak{R}(\mathfrak{F})$.
- 3) If X is complete, then the group $(\text{Aut } X)^0$ is generated by T and all T -root subgroups on X .

Example

$$X = \mathbb{P}^2, T = (\mathbb{K}^\times)^2, (t_1, t_2) \cdot [x_0 : x_1 : x_2] = [x_0 : t_1 x_1 : t_2 x_2]$$



The corresponding
root subgroups in PGL_3 :



A generalization

G a connected reductive algebraic group (e.g. SL_n)

$B \subseteq G$ a Borel subgroup (e.g. all upper-triangular matrices in SL_n)

$T \subseteq B$ a maximal torus (e.g. all diagonal matrices in SL_n)

$U := \text{Rad}_u(B)$, a maximal unipotent subgroup in B (e.g. all upper-triangular matrices in SL_n with ones on the diagonal)

U^- the maximal unipotent subgroup in G opposite to U (e.g. all lower-triangular matrices in SL_n with ones on the diagonal)

$\Lambda^+ \subseteq \mathfrak{X}(B)$ the set of dominant weights

$\Lambda^+ \leftrightarrow \{\text{finite-dimensional simple } G\text{-modules}\}$

Remark: in the toric case we have $G = B = T$, $U = \{e\}$,

$\Lambda^+ = \mathfrak{X}(T)$

Definition

A G -variety X is called **spherical** if X is normal, irreducible, and possesses an open B -orbit.

Examples:

- (1) toric varieties ($G = B = T$);
- (2) (generalized) flag varieties G/P (P is a parabolic subgroup);
- (3) horospherical varieties (the stabilizer in G of a point in general position contains a maximal unipotent subgroup of G);
- (4) symmetric spaces.

[AA]: a proper generalization of root subgroups from the toric case to spherical varieties is given by **B -root subgroups**

Global problem

Describe all B -root subgroups on a given spherical G -variety.

One more motivation for B -root subgroups

Let X be a complete spherical G -variety, $\text{Aut } X$ its automorphism group, and $A = (\text{Aut } X)^0$ its connected component of the identity.

Fact

The group A is linear algebraic.

Now suppose that G acts on X effectively. Then $G \subset A$ and there is a G -module decomposition

$$\text{Lie } A = \text{Lie } G \oplus W \oplus \bigoplus_{i \in I} V_i, \text{ where}$$

- W is a G -module with trivial action, which corresponds to a torus centralizing G ;
- V_i is a simple G -module whose highest weight vector v_i corresponds to a B -root subgroup in A .

Certain invariants of spherical varieties

Let X be a spherical G -variety.

$\mathbb{K}(X)$ is the field of rational functions on X

Weight lattice

$M := \{\text{weights of } B\text{-semiinvariant functions in } \mathbb{K}(X)\} \subseteq \mathfrak{X}(T)$

$\lambda \in M \rightsquigarrow f_\lambda \in \mathbb{K}(X)_\lambda^{(B)}$; may assume $f_\lambda f_\mu = f_{\lambda+\mu} \quad \forall \lambda, \mu \in M$

\mathcal{D}^B is the set of B -stable prime divisors in X

\mathcal{D}^G is the set of G -stable prime divisors in X

$\mathcal{D} = \mathcal{D}^B \setminus \mathcal{D}^G$ is the set of **colors** of X

There is a natural map

$$\varkappa: \mathcal{D}^B \rightarrow N = \text{Hom}_{\mathbb{Z}}(M, \mathbb{Z}), \quad \langle \varkappa(D), \lambda \rangle := \text{ord}_D(f_\lambda).$$

$X \leftrightarrow$ **colored fan**

Basic properties of B -root subgroups

Let H be a B -root subgroup in X and let $\chi(H) \in \mathfrak{X}(T)$ be its weight.

Fact [AA], [AZ2]

$$\chi(H) \in \Lambda^+.$$

$H \rightsquigarrow$ a vector field ξ on X ; all vector fields obtained in this way are called \mathbb{G}_a -integrable

Definition

H is called **vertical** if H preserves the open B -orbit in X .

H is called **horizontal** otherwise.

Remark

In the toric case all T -root subgroups are horizontal.

In what follows we assume that X is horospherical.

Put $X_{\mathcal{D}} = X \setminus \bigcup_{D \in \mathcal{D}} D$.

Fact [AA], [AZ1]

Every B -root subgroup on X preserves the set $X_{\mathcal{D}}$.

The problem of describing all B -root subgroups on X is divided into the following three:

- (1) describe all B -root subgroups on $X_{\mathcal{D}}$;
- (2) determine those B -root subgroups on $X_{\mathcal{D}}$ for which the corresponding vector fields extend to the whole X ;
- (3) determine which vector fields in (2) are \mathbb{G}_a -integrable (and hence correspond to B -root subgroups on the whole X).

Remark: all vector fields in (3) are automatically \mathbb{G}_a -integrable if X is affine or complete.

Local structure theorem

Let P be the stabilizer in G of the set $X_{\mathcal{D}}$.
 $P = L \ltimes P_u$ is a Levi decomposition of P .

Local structure theorem (Knop'1994)

- 1) There exists a closed L -stable subvariety $Z \subseteq X_{\mathcal{D}}$ such that the map $P_u \times Z \rightarrow X_{\mathcal{D}}$, $(p, z) \mapsto pz$, is a P -equivariant isomorphism, where the action of P on $P_u \times Z$ is given by the formula $lu(p, z) = (lupl^{-1}, lz)$ for all $l \in L$, $u, p \in P_u$, $z \in Z$.
- 2) The derived subgroup of L acts trivially on Z . (Then Z is automatically a toric T -variety.)

Important: in the horospherical case there is a canonical way to choose Z :

$Z =$ the set of fixed points w.r.t. the action of U^-

B -root subgroups on $X_{\mathcal{D}}$ and consequences

Using the local structure theorem, we obtained in [AZ1, AZ2] a complete description of B -root subgroups on $X_{\mathcal{D}}$. For affine X , we also obtained in [AZ1] several sufficient conditions for extending B -root subgroups from $X_{\mathcal{D}}$ to the whole X . As a result, we proved in [AZ1] the following result.

Theorem

Suppose that X is affine and not necessarily horospherical. Then every G -stable prime divisor in X can be connected with the open G -orbit in X via a proper B -root subgroup.

Standard B -root subgroups: the affine case [AA]

Suppose that X is affine (and horospherical). Then there is a strictly convex finitely generated cone $\mathcal{E} \subset N_{\mathbb{Q}}$ such that

$$\mathbb{K}[X] = \bigoplus_{\lambda \in \mathcal{E}^{\vee} \cap M} \mathbb{K}[X]_{\lambda}$$

where $\mathbb{K}[X]_{\lambda}$ is a simple G -module with highest weight λ . Moreover, this decomposition is a grading.

Fact: the weight of every horizontal B -root subgroup on X belongs to $\mathfrak{R}(\mathcal{E}) \cap \Lambda^+$.

Given $\mu \in \mathfrak{R}(\mathcal{E}) \cap \Lambda^+$, let $\rho \in \mathcal{E}^1$ be such that $\langle \rho, \mu \rangle = -1$. For every $\lambda \in \mathcal{E}^{\vee} \cap M$ and $g \in \mathbb{K}[X]_{\lambda}$ we define $\partial_{\mu}(g) = \langle \rho, \lambda \rangle g f_{\lambda}$.

This is a generalization of the LND's in the affine toric case!

Proposition

The map ∂_{μ} is a B -normalized LND on $\mathbb{K}[X]$.

The LND ∂_{μ} and the corresponding B -root subgroup H_{μ} are called **standard**. H_{μ} is automatically horizontal.

Proposition

For affine X , a B -root subgroup on X is standard if and only if it preserves the canonical section $Z \subset X_{\mathcal{D}}$.

For arbitrary X , by definition, a B -root subgroup is called **standard** if it preserves Z .

Let \mathfrak{F} be the colored fan of X .

Theorem

For a weight $\mu \in \mathfrak{X}(T)$, the following conditions are equivalent:

- (1) there exists a standard B -root subgroup on X of weight μ ;
- (2) $\mu \in \mathfrak{R}(\mathfrak{F}) \cap \Lambda^+$.

If the fan \mathfrak{F} is convex (for example, for affine or complete X), then these conditions are equivalent to one more:

- (3) there exists a horizontal B -root subgroup on X of weight μ .

The case $G = \mathrm{SL}_2 \times \text{torus}$ [AZ2]

Suppose $G = \mathrm{SL}_2 \times S$ where S is a torus.

For every spherical G -variety X we obtained a complete description of all vertical B -root subgroups on X .

Now assume that X is complete. Then we know all standard B -root subgroups and all vertical B -root subgroups \Rightarrow for the group $A = (\mathrm{Aut} X)^0$ in the G -module decomposition

$$\mathrm{Lie} A = \mathrm{Lie} G \oplus W \oplus \bigoplus_{i \in I} V_i$$

we know all the V_i . The part W can be also easily found.

We computed the commutation relations between all the summands \Rightarrow we recover the Lie algebra structure on $\mathrm{Lie} A \Rightarrow$ we recover the group A itself.

Final result: for $G = \mathrm{SL}_2 \times S$ we obtained a description of the group A for complete horospherical G -varieties similarly to Demazure's result for complete toric varieties.